

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

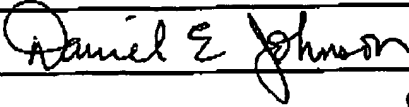
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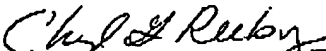
<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Applicati n Number	10/090,589
	Filing Date	02/28/2002
	First Named Inventor	C. Rettner et al.
	Group Art Unit	2652
	Examiner Name	H. Nguyen
Total Number of Pages in This Submission	Attorney Docket Number <b>ARC920010115US1</b>	

ENCLOSURES <i>(check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Request for Corrected Filing Receipt
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PTO/SB/97 (08-00)

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PRELIMINARY AMENDMENT  
REQUEST FOR CORRECTED FILING RECEIPT

TOTAL NUMBER OF PAGES SUBMITTED INCLUDING COVER PAGE: 6

SERIAL NUMBER: 10/090,589

FILED: FEBRUARY 28, 2002

INVENTOR: C. RETTNER ET AL.

DOCKET NUMBER: ARC920010115US1

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Practitioner' Docket No. ARC920010115US1

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4/RCFR  
Mall  
3/21/03

In re application of: C. Röttner et al.

Application No.: 10 /090,589 Group No.: 2652

Filed: February 28, 2002 Examiner: H. Nguyen

For: OPTICAL APERTURE FOR DATA RECORDING HAVING TRANSMISSION ENHANCED BY  
WAVEGUIDE MODE RESONANCECommissioner for Patents  
Washington, D.C. 20231

## REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

NOTE: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).

2. There is an error with respect to the following data, which is:

☐ incorrectly entered

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(When using Express Mail, the Express Mail label number is mandatory;  
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37 C.F.R. § 1.8(a)

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Cheryl G. Ruby  
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Date: MARCH 17, 2003

Cheryl G. Ruby  
(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Request for Corrected Filing Receipt [5-8]—page 1 of 2)

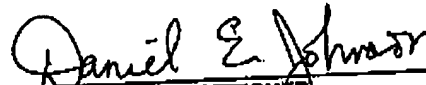
- | <i>Error in</i> |  | <i>Correct data</i>                   |
|-----------------|--|---------------------------------------|
| 1.              | <input type="checkbox"/> Applicant's name                          | 1.                                    |
| 2.              | <input type="checkbox"/> Applicant's address                       | 2.                                    |
| 3.              | <input type="checkbox"/> Title                                     | 3.                                    |
| 4.              | <input type="checkbox"/> Filing Date                               | 4.                                    |
| 5.              | <input type="checkbox"/> Application Number                        | 5.                                    |
| 6.              | <input type="checkbox"/> Foreign/PCT Application Re:               | 6.                                    |
| 7.              | <input checked="" type="checkbox"/> Other (Domestic Priority Data) | 7. 10/026,029 Filed December 18, 2001 |

SEE APPLICANT'S PRELIMINARY AMENDMENT OF MARCH 17, 2003.

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SIGNATURE OF PRACTITIONER  
DANIEL E. JOHNSON  
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SAN JOSE, CA 95120

(Request for Corrected Filing Receipt [S-8]—page 2 of 2)

#5/CFR  
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3/21/03
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/090,589	02/28/2002	2652	1496	ARC920010115US1	18	48	6

CONFIRMATION NO. 7119

CORRECTED FILING RECEIPT



\*OC000000009684964\*

 30355  
 DANIEL E. JOHNSON  
 IBM CORPORATION, ALMADEN RESEARCH CENTER  
 INTELLECTUAL PROPERTY LAW DEPT. C4TA/J2B  
 650 HARRY ROAD  
 SAN JOSE, CA 95120-6099

Date Mailed: 03/21/2003

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**Applicant(s)**
 Charles T. Rettner, San Jose, CA;  
 Barry C. Stipe, San Jose, CA;
**Assignment For Published Patent Application**

International Business Machines Corporation, ARMONK, NY;

**Domestic Priority data as claimed by applicant**

This application is a CIP of 10/026,029 12/18/2001

**Foreign Applications**

If Required, Foreign Filing License Granted: 04/09/2002

Projected Publication Date: 07/03/2003

Non-Publication Request: No

Early Publication Request: No

Title

Optical aperture for data recording having transmission enhanced by waveguide mode resonance

**Preliminary Class**

369

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